

## LB Hackney Pension Fund - Breaches Register

Quarter	Date	Category (e.g. administration, contributions, funding, investment, criminal activity)	Employer / Org.	Description of breach	Cause of breach	Possible effect of breach and wider implications	Reaction of relevant parties to breach	Traffic Light System	Reported / Not reported (with justification if not reported and dates)	Outcome of report and/or investigations	Outstanding actions
Q1	Apr-19	Contributions	Manor House Development Trust	Contributions received late	Employer has missed the prescribed period for contributions	Late contributions could affect member queries & ABS	Employer notified of regulations to provide contributions on time and warned of breach	G	Not reported	Contributions payment received late on 26/04/2019. Levy raised for £65	Monitor going forward
Q1	Apr-19	Contributions	Westgate cleaning services	HK221 received late	Employer has missed the prescribed period for contributions	Late contributions could affect member queries & ABS	Employer notified of regulations to provide contributions on time and warned of breach	G	Not reported	HK221 received late (date unknown). Levy raised for £65.	Monitor going forward
Q1	May-19	Contributions	Peabody	HK221 and Payment received late	Late supporting documents	Late supporting documents could affect member queries & ABS	Employer has been warned of breach	G	Not reported	First offence for the year, an email has been sent to the employer reminding them of the deadlines.	Monitor going forward
Q1	May-19	Contributions	Westgate cleaning services	HK221 and Payment received late	Late supporting documents	Late supporting documents could affect member queries & ABS	Employer has been warned of breach	G	Not reported	First offence for the year, an email has been sent to the employer reminding them of the deadlines.	Monitor going forward
Q1	Jun-19	Contributions	Sport Gayhurst	Contributions received late	Employer has missed the prescribed period for contributions	Late contributions could affect member queries & ABS	Employer notified of regulations to provide contributions on time and warned of breach	G	Not reported	First offence for the year, an email has been sent to the employer reminding them of the deadlines.	Monitor going forward